

**CA Individual Payment
BUSINESS RULES FOR TAX YEAR 2019
Version 2019v1.0**

Rule Number	Parent Schema	Rule Text	Severity	Error Type
IndividualPayment-010	Payment Request Header	<p>There is an error with the Social Security Number [SSN] provided in the Payment Request Header [CA-PaymentRequestHeader]. The Primary Taxpayer's SSN [SSN] and Spouse/RDP SSN [SSN] must:</p> <ul style="list-style-type: none"> • Not be all zeroes • Not be all ones • Not be all twos • Not be all threes • Not be all fours • Not be all fives • Not be all sixes • Not be all sevens • Not be all eights • Be within the valid range of SSNs • Not have zeroes in the fourth and fifth digits • Not have all zeroes in the sixth through ninth digits 	Reject and Continue	
IndividualPayment-020		The Primary Taxpayer SSN [SSN] in the Payment Request Header must match the Primary Taxpayer SSN [PrimarySSN] in the Submission Manifest [CA-SubmissionManifest].	Reject and Continue	
IndividualPayment-030		The IP Address [IPAddress] in the Payment Request Header [CA-PaymentRequestHeader] must not equal all zeros.	Reject and Continue	
IndividualPayment-040		When the Transmission Type is equal to Online, then the CA PIN Type Code [CAPINTypeCode] must equal either "SelfSelectPIN-Online" or "NoPINUsed".	Reject and Continue	
IndividualPayment-050		When the Transmission Type is not equal to Online, then the CA PIN Type Code [CAPINTypeCode] must equal "PractitionerPIN", "SelfSelectPIN-Practitioner", or "NoPINUsed".	Reject and Continue	
IndividualPayment-060		When the Transmission Type is not equal to Online, and the CA PIN Type Code [CAPINTypeCode] is "NoPINUsed", then the Jurat/Disclosure Code [JuratDisclosureCode] must be blank.	Reject and Continue	
IndividualPayment-070		<p>If CA PIN Type Code [CAPINTypeCode] is equal to "SelfSelectPIN-Practitioner", then the following elements must be present:</p> <ul style="list-style-type: none"> • Jurat Disclosure Code [JuratDisclosureCode] and • Practitioner PIN [PractitionerPIN] <p>In addition, the following element must also be present unless Spouse/RDP [SpouseRDP] is present and the Primary Taxpayer Date of Death [DeceasedDate] is significant and the Spouse/RDP Date of Death [DeceasedDate] is not significant:</p> <ul style="list-style-type: none"> • Primary Taxpayer Signature [PrimarySignature] including Prior Year AGI [PriorYearAGI] 	Reject and Continue	

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IndividualPayment-080		<p>If CA PIN Type Code [CAPINTypeCode] is equal to "SelfSelectPIN-Practitioner" or "SelfSelectPIN-Online" and Spouse/RDP [SpouseRDP] is present then the following element must be present:</p> <ul style="list-style-type: none"> • Spouse/RDP Signature [SpouseRDPSignature] including Prior Year AGI [PriorYearAGI] <p>Exception: The Spouse/RDP Date of Death [DeceasedDate] is significant.</p>	Reject and Continue	
IndividualPayment-090		If CA PIN Type Code [CAPINTypeCode] is equal to "SelfSelectPIN-Online", then the Practitioner PIN [PractitionerPIN] element cannot be present.	Reject and Continue	
IndividualPayment-100		When Transmission Type is equal to Online and CA PIN Type Code [CAPINTypeCode] is equal to "NoPINUsed", then the Jurat Disclosure Code [JuratDisclosureCode] must equal "RegularOnlineFiling" and form FTB 8453 (PMT) is required to be completed.	Reject and Continue	
IndividualPayment-110		The Primary Taxpayer PIN [PIN] cannot equal 00000.	Reject and Continue	
IndividualPayment-120		The Spouse/RDP PIN [PIN] cannot equal 00000.	Reject and Continue	
IndividualPayment-130		<p>If CA PIN Type Code [CAPINTypeCode] is equal to "NoPINUsed", then the following elements cannot be present:</p> <ul style="list-style-type: none"> • Primary Taxpayer Signature [PrimarySignature], • Spouse/RDP Signature [SpouseRDPSignature], and • Practitioner PIN [PractitionerPIN]. 	Reject and Continue	
IndividualPayment-140		If CA PIN Type Code [CAPINTypeCode] is equal to "PractitionerPIN", "SelfSelectPIN-Practitioner", or "SelfSelectPIN-Online" AND Spouse/RDP [SpouseRDP] is not present then the Spouse/RDP Signature [SpouseRDPSignature] cannot be present.	Reject and Continue	
IndividualPayment-150		Prior Year AGI [PriorYearAGI] in the Primary Taxpayer Signature [PrimarySignature] element does not match FTB's records, therefore you cannot sign your payment electronically. You can still e-file by signing form FTB 8453 (PMT).	Reject and Continue	
IndividualPayment-160		Prior Year AGI [PriorYearAGI] in the Spouse/RDP Signature [SpouseRDPSignature] element does not match FTB's records, therefore you cannot sign your payment electronically. You can still e-file by signing form FTB 8453 (PMT).	Reject and Continue	

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Rule Number	Parent Schema	Rule Text	Severity	Error Type
IndividualPayment-170		<p>If CA PIN Type Code [CAPINTypeCode] is equal to "SelfSelectPIN-Online", then the following elements must be present:</p> <ul style="list-style-type: none"> • Jurat Disclosure Code [JuratDisclosureCode] <p>In addition, the following element must also be present unless Spouse/RDP [SpouseRDP] is present and the Primary Taxpayer Date of Death [DeceasedDate] is significant and the Spouse/RDP Date of Death [DeceasedDate] is not significant:</p> <ul style="list-style-type: none"> • Primary Taxpayer Signature [PrimarySignature] including Prior Year AGI [PriorYearAGI] 	Reject and Continue	
IndividualPayment-180		The Practitioner's PIN [PIN] in the Payment Request Header cannot equal 00000.	Reject and Continue	
IndividualPayment-190		There is an error with the Prior Year California Adjusted Gross Income [PriorYearAGI] amount provided in the Payment Request Header. The Primary Taxpayer on this payment is ineligible to participate in the Self-Select PIN program. Our records indicate the Primary Taxpayer did not file a prior year individual income tax return and does not have a shared secret (Prior Year California Adjusted Gross Income) amount. They may still e-file by signing form FTB 8453 (PMT).	Reject and Continue	
IndividualPayment-200		There is an error with the Prior Year California Adjusted Gross Income [PriorYearAGI] amount provided in the Payment Request Header. The Spouse/RDP on this payment is ineligible to participate in the Self-Select PIN program. Our records indicate the Spouse/RDP did not file a prior year individual income tax return and does not have a shared secret (Prior Year California Adjusted Gross Income) amount. They may still e-file by signing form FTB 8453 (PMT).	Reject and Continue	
IndividualPayment-210		The Primary Taxpayer's Signature-Date [SignatureDate] must be within the current processing year.	Reject and Continue	Modified
IndividualPayment-220		The Spouse/RDP's Signature-Date [SignatureDate] must be within the current processing year.	Reject and Continue	Modified
IndividualPayment-230		<p>If CA PIN Type Code [CAPINTypeCode] is equal to "SelfSelectPIN-Practitioner", then Jurat Disclosure Code [JuratDisclosureCode] must equal "SelfSelectPINByERO".</p> <p>(Note: Shared secret is required.)</p>	Reject and Continue	
IndividualPayment-240		<p>If CA PIN Type Code [CAPINTypeCode] is equal to "PractitionerPIN", then Jurat Disclosure Code [JuratDisclosureCode] must equal "PractitionerPINProgram".</p> <p>(Note: Form FTB 8879 (PMT) must be completed.)</p>	Reject and Continue	

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Rule Number	Parent Schema	Rule Text	Severity	Error Type
IndividualPayment-250		If CA PIN Type Code [CAPINTypeCode] is equal to "SelfSelectPIN-Online", then Jurat Disclosure Code [JuratDisclosureCode] must equal "OnlineSelfSelectPIN". (Note: Shared secret is required.)	Reject and Continue	
IndividualPayment-260		If CA PIN Type Code [CAPINTypeCode] is equal to "PractitionerPIN", then the following elements must be present: <ul style="list-style-type: none"> • Jurat Disclosure Code [JuratDisclosureCode] and • Practitioner PIN [PractitionerPIN]. <p>In addition, the following element must also be present unless Spouse/RDP [SpouseRDP] is present and the Primary Taxpayer Date of Death [DeceasedDate] is significant and the Spouse/RDP Date of Death [DeceasedDate] is not significant:</p> <ul style="list-style-type: none"> • Primary Taxpayer Signature PIN [PIN] • Primary Taxpayer Signature Date [SignatureDate] and • PIN Entered By [PINEnteredBy] 	Reject and Continue	
IndividualPayment-270		If CA PIN Type Code [CAPINTypeCode] is equal to "PractitionerPIN" and the Spouse/RDP [SpouseRDP] is present, then the following elements must be present: <ul style="list-style-type: none"> • Spouse/RDP Signature PIN [PIN] • Spouse/RDP Signature Date [SignatureDate], • PIN Entered By [PINEnteredBy] <p>Exception: The Spouse/RDP Date of Death [DeceasedDate] is significant.</p>	Reject and Continue	
IndividualPayment-280		If CA PIN Type Code [CAPINTypeCode] is equal to "PractitionerPIN", then Primary Taxpayer Prior Year AGI [PriorYearAGI] and Spouse/RDP Prior Year AGI [PriorYearAGI] must NOT be present.	Reject and Continue	
IndividualPayment-285		The Tax Year [TaxYear] must equal to '2018 19' or '2019 20'.	Reject and Continue	Modified
IndividualPayment-290		The e-file system has identified your payment request as a duplicate. The primary taxpayers SSN [SSN], Payment Type [PaymentType], Account Number [AccountNumber], Amount [Amount] and Requested Date [RequestedDate] match a previously accepted payment request.	Reject and Continue	
IndividualPayment-300		The e-file system has identified your payment request as a duplicate. The Spouse/RDP SSN [SSN], Payment Type [PaymentType], Account Number [AccountNumber], Amount [Amount] and Requested Date [RequestedDate] match a previously accepted payment request.	Reject and Continue	

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Rule Number	Parent Schema	Rule Text	Severity	Error Type
IndividualPayment-310	Future Estimated Tax Payment	If the Electronic Funds Withdrawal (EFW) [PaymentType] is not "Extension", then the date-requested [RequestedDate] must be on or before 1/15/20 20 21.	Reject and Continue	Modified
IndividualPayment-315		The APE [AccountPeriodEnd] year must equal to '2018' or '2019'.	Reject and Continue	Delete
IndividualPayment-320	CA-Payment	The Electronic Funds Withdrawal (EFW) [PaymentType] is "Extension", then the date-requested [RequestedDate] must be on or before 12/31/20 19 20.	Reject and Continue	Modified
IndividualPayment-330		The Electronic Funds Withdrawal (EFW) date requested [RequestedDate] cannot be more than 5 days prior to the received date.	Reject and Continue	
R0000-065	General Return Rules	The total number of documents contained within the Payment Request [CA-PaymentRequestData] must match the document count attribute [documentCnt] contained within the specific Payment Request [CA-PaymentRequestData] element, as computed by FTB.	Reject and Stop	
R0000-110		The Electronic Funds Withdrawal (EFW) bank account number [AccountNumber] must not be all zeros.	Reject and Continue	
X0000-010	General Schema Rules	Your State submission does not match the latest published FTB Schema or is not well formed. You must contact your software provider to resolve this error.	Reject and Stop	
T0000-010	CA-Transmission Manifest	The number of submissions listed in the Submission Data List [SubmissionDataList] does not equal the actual number of submission ZIP archives/files attached.	Reject and Stop	
T0000-030		Transmission Manifest [CA-TransmissionManifest] not present. Unable to proceed.	Reject and Stop	
T0000-040		Multiple Transmission Manifests [CA-TransmissionManifest] are present. Unable to proceed.	Reject and Stop	
X0000-030		Your Transmission Manifest [CA-TransmissionManifest] does not match the latest published FTB Schema or is not well formed. You must contact your software provider to resolve this error.	Reject and Stop	
T0000-060		The Transmission ID [TransmissionId] is not unique.	Reject and Stop	
T0000-070		The Transmission file name in the outer ZIP archive/file [TransmissionId] does not match the Transmission ID [TransmissionId] in the Transmission Manifest [CA-TransmissionManifest].	Reject and Stop	
T0000-080		The ETIN [ETIN] portion of your Transmission ID [TransmissionId] must match the ETIN [ETIN] in the Transmission Manifest [CA-TransmissionManifest].	Reject and Stop	
T0000-090		The "Current Year" portion of your Transmission ID [TransmissionId] is not in the current processing year.	Reject and Stop	

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T0000-100		The "Julian Date" portion of your Transmission ID [TransmissionId] is outside the acceptable range (cannot be more than two days prior to the Julian Date of the actual processing date or more than one day after the actual processing date).	Reject and Stop	
T0000-120		The ETIN [ETIN] in your Transmission Manifest [CA-TransmissionManifest] is not recognized as an Authorized e-file Provider by FTB.	Reject and Stop	
T0000-130		Not every "Submission ID" in the [SubmissionDataList] has a corresponding submission ZIP archive/file contained within this transmission.	Reject and Stop	
T0000-140	General Transmission Errors	Invalid ZIP archive/file format. Unable to decompress data. Possibly corrupted.	Reject and Stop	
T0000-150		Invalid directory structure. Unable to find items where they're expected to be located.	Reject and Stop	
T0000-160		No attachments/submissions are present. Unable to proceed.	Reject and Stop	
T0000-170		There is a problem with the Transmission ID name [TransmissionID] of your transmission file. All transmission files must have a naming convention that is according to the transmission specification contained in FTB Pub. 1346X. Please rename and resubmit the file.	Reject and Stop	
X0000-040	CA-Submission Manifest	Your Submission Manifest [CA-SubmissionManifest] does not match the latest published FTB Schema or is not well formed. You must contact your software provider to resolve this	Reject and Stop	
S0000-010		The EFIN [EFIN] in the Submission Manifest [CA-SubmissionManifest] must match the EFIN [EFIN] in the Payment Request Header [CA-PaymentRequestHeader]. You must contact your software provider to resolve this error.	Reject and Continue	
S0000-015		The EFIN [EFIN] in the Submission ID [SubmissionId] (the first six digits) must match the EFIN [EFIN] in the Submission Manifest [CA-SubmissionManifest].	Reject and Continue	
S0000-020		The Submission ID [SubmissionId] must match the "Submission ID" portion of the original outer ZIP archive/file name.	Reject and Stop	
S0000-050		The "Current Year" portion of your Submission ID [SubmissionId] is not current.	Reject and Stop	
S0000-070		The Submission ID [SubmissionId] has been used on a previously accepted submission.	Reject and Stop	
S0000-080		The EFIN [EFIN] in your Submission Manifest [CA-SubmissionManifest] is not recognized as an Authorized e-file Provider by FTB.	Reject and Stop	
S0000-095		Our records indicate that you are not authorized to submit a payment.	Reject and Stop	

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S0000-098		If the Submission Type [SubmissionType] within the Submission Manifest [CA-SubmissionManifest] is "IndividualPayment" then the SSN [PrimarySSN] in the Submission Manifest must be present.	Reject and Stop	
S0000-100	General Submission Errors	Invalid ZIP archive/file format. Unable to decompress data. Possibly corrupted.	Reject and Stop	
S0000-110		Invalid directory structure. Unable to find items where they're expected to be located.	Reject and Stop	
S0000-120		Submission Manifest [CA-SubmissionManifest] not present. Unable to proceed.	Reject and Stop	
S0000-130		Multiple Submission Manifests [CA-SubmissionManifest] are present. Unable to proceed.	Reject and Stop	
S0000-140		A State submission is not present. Unable to proceed.	Reject and Stop	
S0000-150		Multiple State submissions are present. Only one State submission XML Data is allowed in each Submission ZIP Archive. Unable to proceed.	Reject and Stop	